



10/10/01

**NONPROVISIONAL PATENT  
APPLICATION TRANSMITTAL RULE §1.53(b)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No. 004372  
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Docket No. 107292-00028

Date: October 10, 2001

JC979 U.S. PTO  
09/972873



Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title): OPTICAL RECORDING MEDIUM AND METHOD

By (Inventors): Syuji TSUKAMOTO (Tokyo, Japan)

- ☒ 28 pages of Specification/Claims 1-10/Abstract are attached.  
☒ Formal drawings (Figs. 1-6; 6 sheets are attached.  
☒ A Declaration and Power of Attorney is attached.  
☒ An assignment of the invention to TDK Corporation is attached, along with Form PTO-1595 and a check for \$40.00.  
☐ An Information Disclosure Statement is attached, along with Form PTO-1449, and \_\_\_\_\_ reference(s).  
☐ This application is entitled to Small Entity Status.  
☐ A Preliminary Amendment is attached.  
☐ Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.  
☒ Priority of foreign application No. 2000-309333 filed October 10, 2000 in Japan is claimed under 35 U.S.C. §119.  
☐ A certified copy of the above corresponding foreign application is attached.

The filing fee is calculated below and includes claim status after entry of any Preliminary Amendment noted above:

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	10 - 20	= 0
INDEP CLAIMS	2 - 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS		

SMALL ENTITY		OR	LARGE ENTITY	
RATE	FEE		RATE	FEE
	\$ 370	OR		\$ 740
x 9 =	\$	OR	x 18	\$ 0
x 42 =	\$	OR	x 84	\$ 0
+140 =	\$	OR	+280	\$ 0
TOTAL	\$	OR	TOTAL	\$ 740

- ☒ A check in the amount of 780.00 (740.00 for the filing fee and 40.00 for the Assignment Recordation Fee) is attached. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300

Respectfully submitted,

Charles M. Marmelstein  
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CMM/aam